HUMAN RESOURCES FORUM

The Dual Career Ladder Concept and its Application at NASA Glenn

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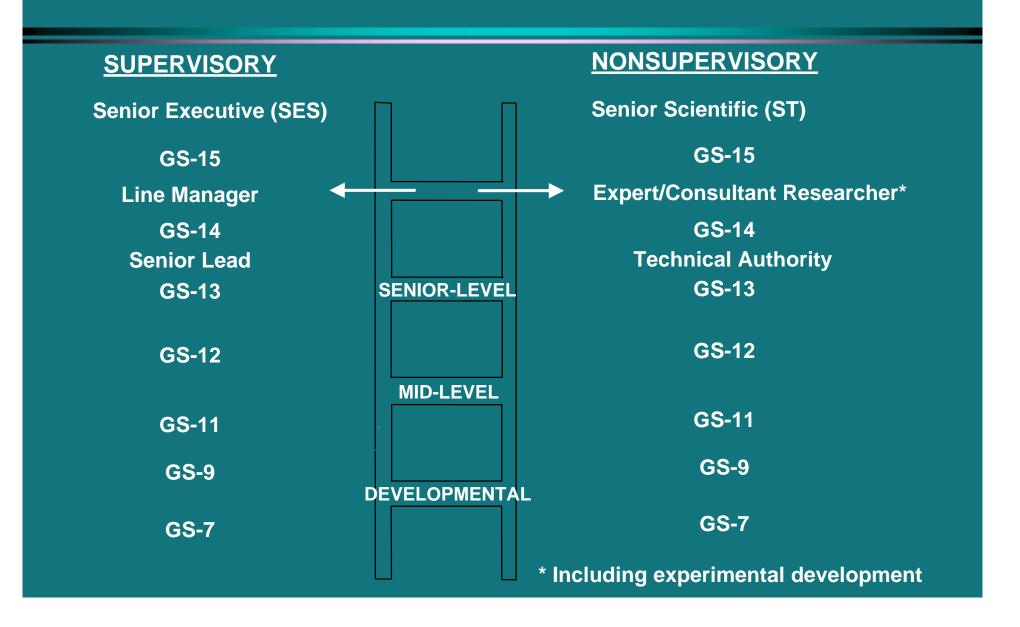
Program and Policy Office

Office of Human Resources

Based on the premise that there are 2 Scientific & Engineering (S&E) high level career paths to consider:

- 1. High level supervisory/managerial work
- 2. High level of nonsupervisory professional recognition and contribution (personal impact) work

SCIENTISTS AND ENGINEERS DUAL CAREER LINES OF PROGRESSION



Background:

In past years, noncompetitive Dual Career Ladder (DCL) promotions to GS-14 and GS-15 involved three types of work situations:

- 1. Personal Impact
- 2. Technical Competence
- 3. Project Management

Background:

NASA Headquarters, Code F observation concerning GRC Dual Career Ladder process:

- GRC's practice of filling GS-14 positions through DCL is a violation of merit principles
- Process has eroded...to noncompetitively promote GRC employees to GS-14 level including nonresearch AST and administrative positions.
- Fair and open competition has not been used...

GRC PERSONNEL POLICY STATEMENT SUBJECT: DUAL CAREER LADDER PROMOTIONS

- Establishes procedures and criteria for DCL promotions to grades GS-14, GS-15, and ST
- Defines three promotion situations
 - 1. Personal Impact (S&E's)
 - 2. Technical Competence
 - 3. Project Management
- Sets policy for processing the three types of promotions

OPM PERSPECTIVE ON THE DUAL CAREER LADDER PROCESS

"First Commandment" of Position Classification

I. Thou shall classify the position... not the person...

The long recognized OPM principle that the work assigned to the position, not the incumbent, is evaluated for grade determination

OPM PERSPECTIVE ON THE DUAL CAREER LADDER PROCESS

Exception:

Research and experimental development work

- Purpose of research & development is to extend human knowledge and understanding...
- Creativity and originality are inherently of central importance in a research situation
- Incumbent's personal qualifications have a profound impact on the dimensions of the resulting job

Situation 1 - Personal Impact (S&E Positions only)

This situation recognizes grade value of performance that involves:

- A very high degree of technical independence
- A high degree of originality and
- A high degree of professional recognition and contribution

CHARACTERISTICS OF PERSONAL STATURE OR IMPACT

Nationally Recognized

Significant Technical Publications

Preeminence in the Field

World Renown

Pioneering Accomplishments

Invited Guest Speaker

Sought After Advisor/Consultant

Contributed Inventions

First-Time Achievement

Top Technical Authority

Situation 2 - Technical Competence

This situation recognizes that personal qualities and/or performance have an impact upon the position which results in:

- The assignment and inclusion of additional duties and responsibilities over and above those that might normally be included in position
- The additional duties and responsibilities are included as part of the position description
- Duties are classified and evaluated as part of the position along with other duties and responsibilities

Situation 3 - Project Management

This situation recognizes that:

- GRC engineering "project managers" clearly meet the definition for project management engineers as described in OPM's Equipment Development Grade Evaluation Guide (EDGEG), Part II
- Growth in a project can also change the position to the extent the position warrants a higher grade
- Project management engineer positions are handled in a manner consistent with Situation 2

Situation 3 - Project Management

- The assignment and inclusion of additional duties and responsibilities over and above those that might normally be included in position
- The additional duties and responsibilities are included as part of the position description
- Duties are classified and evaluated as part of the position along with other duties and responsibilities

DUAL CAREER LADDER PROMOTION POLICY

Situation 1 - Personal Impact Promotion (S&E only)

- Based on achievements and rank of the person
- Classified using the Research Grade Evaluation Guide (RGEG) or the Equipment Development Grade Evaluation Guide (EDGEG), Part III, Experimental Development
- Processed in accordance with procedures described in Personnel Policy Statement OHR-8 and enclosures

DUAL CAREER LADDER PROMOTION POLICY

Situation 2 - Technical Competence

- Based on work assigned to the position
- Classified using appropriate OPM classification standards or grading guides
- Announced and filled using CPP procedures

Situation 3 - Project Management (S&E only)

- Based on work assigned to the position
- Classified using the EDGEG, Part II, Project Management Engineering, GS-800
- Announced and filled using CPP

PERSONAL IMPACT DUAL CAREER LADDER PROMOTIONS

Rationale:

The OPM grading criteria found in both the RGEG and the EDGEG, Part III, Experimental Development, cover four major factors:

- I. The Research Situation, or Assignment
- **II.** Supervision Received
- III. Guidelines and Originality
- IV. Qualifications and Scientific Contributions

PERSONAL IMPACT DUAL CAREER LADDER PROMOTIONS

Factor IV - Qualifications and Scientific Contributions

- Addresses the "Personal Impact" of the incumbent
- Focuses on total qualifications, professional standing, and recognition and scientific contributions of the researcher
- Strongest factor in evaluation of impact

PROMOTION PROCESS FOR PERSONAL IMPACT DUAL CAREER LADDER PROMOTIONS

- 1. Centerwide call for nominations from Center Director
- 2. Candidate promotion packages are prepared, received, and reviewed by Branch Chiefs and OHR (within 30 days of call letter)
- 3. Directorates schedule and conduct candidate evaluation sessions
- 4. Directorate prioritized listing of all candidates to GRC Chief Scientist (within 60 days of call letter)

PROMOTION PROCESS FOR PERSONAL IMPACT DUAL CAREER LADDER PROMOTIONS

- 5. GRC Chief Scientist selects top group of candidates for assessment and ranking by a Candidate Evaluation Panel (CEP)
- 6. CEP evaluates, ranks, and provides to Center Director prioritized list of most highly recommended candidates
- 7. Center Director makes final selection of candidates to be promoted
- 8. OHR is informed of selections and proceeds to process promotions

For additional information on:

- ➤ Personal Impact DCL Promotion Evaluation Criteria, Contact: Dr. Marvin Goldstein, 3-5825
- ➤ Dual Career Ladder Promotions Personnel Policy OHR-8* Contact: H. Fran Pierce, Jr., 3-8841
 - * Available on the OHR Homepage at http://www.grc.nasa.gov/WWW/OHR/Ohr-policy.htm